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From	Kerri Pollard Schray	Number of Pages	4
Date	June 15, 2006	Client Number	2006636-0064
Phone	(617) 248-4831	Operator	Time Sent

**ATTORNEY DOCKET NO.: 2006636-0064****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: S. Lyons, *et al.* Examiner:  
Serial No: 10/686,782 Group Art Unit: 1632  
Filing Date: October 17, 2003  
Title: Diagnosis and Treatment of Neuroectodermal Tumors

Attached please find the following for entry in the above-referenced application:

1. Request for Change of Attorney Docket Number and Correspondence Address.
2. Power of Attorney to Prosecute Applications Before the USPTO.
3. Statement Under 37 CFR 3.73(b).



Kerri Pollard Schray  
Registration No. 47,066

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## ATTORNEY DOCKET NO.: 2006636-0064

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: S. Lyons, *et al.*  
Serial No: 10/686,782  
Filing Date: October 17, 2003  
Title: Diagnosis and Treatment of Neuroectodermal Tumors

Examiner:  
Group Art Unit: 1632

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Sir:

REQUEST FOR CHANGE OF ATTORNEY DOCKET NUMBER AND  
CORRESPONDENCE ADDRESS

Please change the Attorney Docket Number and Correspondence address for the above captioned patent application as follows:

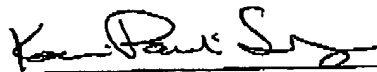
1. Change Attorney Docket Number from 051530-5004 US02 to 2006636-0064
2. All correspondence should be sent to Customer Number 24280:

Choate, Hall & Stewart  
Patent Department  
Attn: Kerri Pollard Schray, Ph.D.  
Two International Place  
Boston, MA 02110

Please direct all telephone calls to Kerri Pollard Schray at 617-248-4831.

Respectfully Submitted,

Dated: June 15, 2006

  
Kerri Pollard Schray  
Registration No. 47,066

CHOATE, HALL & STEWART LLP  
Two International Place  
Boston, MA 02110  
Telephone: (617) 248-5000  
Facsimile: (617)-248-4000

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Sandra Saccoccia

Typed or Printed Name of person signing certificate

PTO/SB/96 (12-05)

Approved for use through 07/31/2006. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**STATEMENT UNDER 37 CFR 3.73(b)**

The UAB Research Foundation

Applicant/Patent Owner:

Application No./Patent No./Control No.: 10/686,782

Filed/Issue Date: October 27, 2003

Entitled: Diagnosis and Treatment of Neuroectodermal Tumors

The UAB Research Foundation

a University

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011412, Frame 0154, or a true copy of the original assignment is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Signature

May 4, 2006

Date

William S. White

205-934-9911

Telephone Number

Printed or Typed Name

CEO

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUN 15 2006

PTO/SB/80 (01-06)

Approved for use through 12/31/2008, OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO**

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).

I hereby appoint:



Practitioners associated with the Customer Number:

24280

OR



Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number	Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(b) to:



The address associated with Customer Number:

24280

OR



Firm or Individual Name

Address

City

State

Zip

Country

Telephone

Email

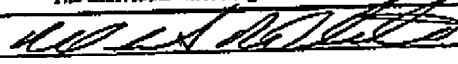
Assignee Name and Address:

The UAB Research Foundation, 701 - 20th Street South, AB 1120 G, Birmingham, AL 35294

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Signature		Date	May 4, 2006
Name	William S. White	Telephone	205-934-9911
Title	CEO		

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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